



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Confirmation No. 2875
Naoki MIDOH et al. : Docket No. 2002_0317A
Serial No. 10/070,387 : Group Art Unit 1653
Filed March 6, 2002 :

CYCLIC DEPSIPEPTIDE SYNTHETASE AND
GENE THEREOF, AND MASS PRODUCTION
SYSTEM FOR CYCLIC DEPSIPEPTIDE

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of ☒ the references listed on attached form PTO-1449 and/or ☐ the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No. .

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, or

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

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1c. ☐ This Information Disclosure Statement is submitted:

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after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

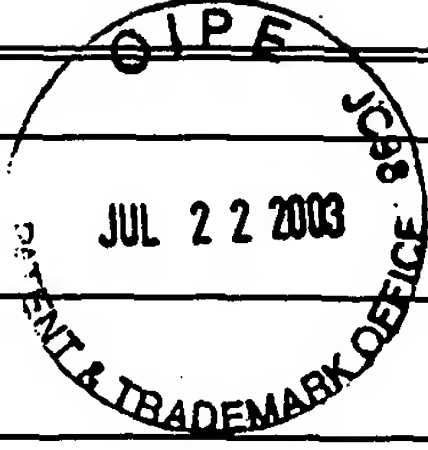
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☐ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☐ the concise explanation contained in the specification of the present application at page,
 - d. ☐ the concise explanation set forth in the attached English language abstract,
 - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☒ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Naoki MIDOH et al.

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July 22, 2003

Sheet 1 of 1		FORM PTO 1449 (modified)		ATTY DOCKET NO. 2002_0317A		SERIAL NO. 10/070,387					
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) <i>(Use several sheets if necessary)</i> Date Submitted to PTO: July 22, 2003				APPLICANT Naoki MIDOH et al.				FILING DATE March 6, 2002		GROUP 1653	
U.S. PATENT DOCUMENTS											
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE				
	AA										
	AB										
	AC										
	AD										
	AE						<div style="font-size: 2em; font-weight: bold;">RECEIVED</div> <div style="font-size: 1.2em;">JUL 24 2003</div> <div style="font-size: 1.2em;">TECH CENTER 1600/2900</div>				
	AF										
	AG										
	AH										
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FOREIGN PATENT DOCUMENTS											
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES		NO		
	AJ										
	AK										
	AL										
	AM										
	AN										
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)											
	AO	M. Marahiel et al., "Modular Peptide Synthetases Involved in Nonribosomal Peptide Synthesis", Chemical Reviews, American Chemical Society, Vol. 97, No. 7, November 1997, pp. 2651-2673.									
	AP	J. Burmester et al., "Highly Conserved N-Methyltransferases as an Integral part of Peptide Synthetases", Biochemistry and Molecular Biology International, Vol. 37, No. 2, October 1995, pp. 201-207.									
	AQ										
EXAMINER					DATE CONSIDERED						

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.